PTO/SB/05 (11-00)

UTILITY PATENT APPLICATION **TRANSMITTAL**

1340-1-034N Attorney Docket No. Erin Schuetz First Inventor Genotyping Assay to Predict CYP3A5.....

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. EL806483189US

APPLICATION ELEMENTS	Assistant Commissioner for Patents ADDRESS TO: Assistant Commissioner for Patents Box Patent Application				
See MPEP chapter 600 concerning utility patent application contents.	Washington, DC 20231				
1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status.	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
2. See 37 CFR 1.27.	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)				
3. X Specification [Total Pages 98]	a. Computer Readable Form (CRF)				
 Descriptive title of the invention Cross Reference to Related Applications 	b. Specification Sequence Listing on:				
- Statement Regarding Fed sponsored R & D	CD-ROM or CD-R (2 copies); or				
 Reference to sequence listing, a table, or a computer program listing appendix 	ı i. 🔲 paper				
 Background of the Invention Brief Summary of the Invention 	c. Statements verifying identity of above copies				
 Brief Description of the Drawings (if filed) 	ACCOMPANYING APPLICATION PARTS				
- Detailed Description - Claim(s)	9. X Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement Power of				
- Abstract of the Disclosure	10. (when there is an assignee) Attorney				
4. X Drawing(s) (35 U.S C. 113) [Total Sheets 6]	11 English Translation Document (if applicable)				
5. Oath or Declaration [Total Pages 4]	12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449				
a. X Newly executed (original or copy)	13. Preliminary Amendment				
b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
i DELETION OF INVENTOR(S)	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1 63(d)(2) and 1 33(b)	Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent				
6. X Application Data Sheet. See 37 CFR 1 76	17. X Other. Request for Early Publication				
18. If a CONTINUING APPLICATION, check appropriate box, and supply or in an Application Data Sheet under 37 CFR 1.76:	the requisite information below and in a preliminary amendment,				
Continuation Divisional Continuation-in-part (CIP)	of prior application No/				
Prior application information Examiner	Group Art Unit				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the properties of the accompanying continuation in the incorporation can only be relied upon when a portion has been inadverted.	ion or divisional application and is hereby incorporated by reference.				
19. CORRESPONDEN	CE ADDRESS				
Customer Number or Bar Code Label (29310) (Institution of Notion Attack barot	or Correspondence address below				
Name					
Address					
City	State Zip Code				
Country Telep	hone Fax				
Name (Print/Type) Christine E. Dietzel)	Registration No. (Attorney/Agent) 37,309				
Signature Date 10/10/01					
C	will vary depending upon the needs of the individual case. Any comments of				

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$)1,471.00

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Complete if Known				
Application Number	Unassigned			
Filing Date	Herewith			
First Named Inventor	Erin Schuetz			
Examiner Name	Unknown			
Group Art Unit	Unknown			
Attorney Docket No.	1340-1-034N			

METHOD OF PAYMENT				FE	EE CALCULATION (continued)	
The Commissioner is hereby authorized to charge	3. AE	DIT	ONA	LFE	ES	
indicated fees and credit any overpayments to Deposit	Large Small					
Account 11-1153	Fee	Entit		Entit Fee	•	Fee Paid
Number Deposit	Code		Code		Fee Description	reeralu
Account Klauber + Jackson	105 127	130	205	65	Surcharge - late filing fee or oath	
Charge Any Additional Fee Required Under 37 CFR 1 16 and 1.17		50	227	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status	139	130	139	130	Non-English specification	
See 37 CFR 1 27		2,520	147	2,520	For filing a request for ex parte reexamination	
2. X Payment Enclosed: **T Check Credit card Money Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION		1,840*	113	1,840*	* Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month	
Large Entity Small Entity	116	390	216	195	Extension for reply within second month	
Fee Fee Fee Fee Description	117	890	217	445	Extension for reply within third month	
σους (φ)	118	1,390	218	695	Extension for reply within fourth month	
101 710 201 355 Utility filing fee 370.00	128	1,890	228	945	Extension for reply within fifth month	
107 490 207 245 Plant filing fee	119	· ·				
108 710 208 355 Reissue filing fee	120	310	220	155	Filing a brief in support of an appeal	
114 150 214 75 Provisional filling fee	121	270	221	135	Request for oral hearing	
	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 370.00 140 110		110	240	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES	141	1,240	241	620	Petition to revive - unintentional	
Fee from Extra Claims <u>below</u> Fee Paid	142	1,240	242	620	Utility issue fee (or reissue)	
Total Claims 47 -20** = 27 × 9.00 = 243.00 Independent Claims 12 - 3** = 9 × 42.00 = 378.00		440	243	220	Design issue fee	
		600	244	300	Plant issue fee	
Multiple Dependent 140.00	122	130	122	130	Petitions to the Commissioner	
	123	50	123	50	Processing fee under 37 CFR 1 17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126	180	126	180	Submission of Information Disclosure Stmt	<u> </u>
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filing a submission after final rejection (37 CFR § 1 129(a))	
104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional invention to be	
109 80 209 40 ** Reissue independent claims over original patent	1				examined (37 CFR § 1 129(b))	
110 18 210 9 ** Reissue claims in excess of 20	179	710	279	355	Request for Continued Examination (RCE)	
and over original patent	169	900	169	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 761.00	Other	fee (s	specify) <u>Re</u>	quest for Early Publication	300.00
**or number previously paid, if greater, For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 340.00						

SUBMITTED BY			Complete (if	applicable)
Name (Print/Type)	Christine E. Dietzek	Registration No (Attorney/Agent) 37,309	Telephone	201-487-5800
Signature	Must When		Date	October 10, 2001

WARNING: Information on this form may become public. Credit card information should not

be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Washington, DC 20231.